



PATENT

Case Docket No. CHFT.001A

Date: July 15, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Houser et al.
Appl. No. : 10/826,028
Filed : April 16, 2004
For : SIZING AND SHAPING DEVICE
FOR TREATING CONGESTIVE
HEART FAILURE
Examiner : Unknown
Group Art Unit : 3731

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 15, 2004

(Date)

Vito A. Canuso III, Reg. No. 35,471

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing ten (10) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Vito A. Canuso III
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Customer No. 20,995
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	Houser et al.
App. No.	:	10/826,028
Filed	:	April 16, 2004
For	:	SIZING AND SHAPING DEVICE FOR TREATING CONGESTIVE HEART FAILURE
Examiner	:	Unknown
Group Art Unit	:	3731

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

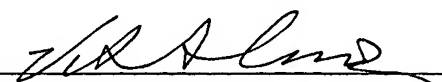
Enclosed is form PTO-1449 listing ten (10) references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

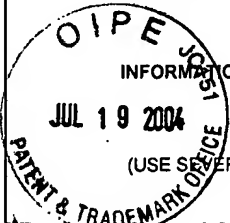
This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7/15/04

By: 
Vito A. Canuso III
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CHFT.001A	APPLICATION NO. 10/828,028
 <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>		APPLICANT Houser et al.	
		FILING DATE April 16, 2004	GROUP 3731

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	2003/0050659	03/13/03	Murphy et al.			
	2	2003/0045896	03/06/03	Murphy et al.			
	3	2003/0192561	10/16/03	Murphy et al.			
	4	2003/0187362	10/02/03	Murphy et al.			
	5	2003/0181940	09/25/03	Murphy et al.			
	6	2002/0133227	09/19/02	Murphy et al.			
	7	2002/0133182	09/19/02	Murphy			
	8	2002/0133143	09/19/02	Murphy et al.			
	9	6,681,773	01/27/04	Murphy et al.			
	10	6,702,763	03/09/04	Murphy et al.			

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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	